



CF/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.  
Application No. : 09/553,423 Confirmation No. : 3987  
Filed : April 19, 2000  
For : SYSTEMS AND METHODS FOR TRADING  
Group Art Unit : 3628  
Examiner : Harish T. Dass

New York, New York  
October 15, 2004

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION

Sir:

This reply is in response to the June 18, 2004  
Office Action issued in connection with the above-identified  
patent application.

**Amendments to the Claims** are reflected in the  
listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 14 of this paper.